PTO/SB/21 (08-03)
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FORM  (to be used for all correspondence after initial filing)		First Named Inventor	12/03/2003		
		Art Unit	Kadambe	·	-···
		Examiner Name			
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Total Number of Pages in This S	Submission	Attorney Docket Number	HRL132		<u> </u>
	ENCI	LOSURES (Check all th	at apply)		
Fee Transmittal Form		Drawing(s)		to Technolo Appeal Con	ance communication gy Center (TC) nmunication to Board
Fee Attached  Amendment/Reply		Licensing-related Papers Petition Petition to Convert to a		Appeal Con	and Interferences nmunication to TC ce, Brief, Reply Brief)
After Final	L  F	Provisional Application		Proprietary	Information
Affidavits/declar		Power of Attorney, Revocation Change of Correspondence Add	ress	Status Lette	er
Extension of Time Requ		Terminal Disclaimer		Other Enclosure(s) (please dentify below):	
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Document(s)	<b>´</b>				
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under 37 CFR 1	.52 or 1.53				
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		Application Numb r	10/728,106				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			CLOSURE	Filing Date	12/03/2003		
			PPLICANT	First Named Inventor	Kadambe		
				Art Unit			
			ecessary)	Examiner Name			
Sheet	1	of	1	Attorney Docket Number	HRL132		

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	1	A. Sankar, et al., "A maximum likelihood approach to stochastic matching for robust speech recognition," IEEE TSAP, vol. 4, pp. 190-202, May 1996		
	2	R. C. Rose, et al., "Integ. models of signal and backgd with appl. to speaker id. in noise," IEEE TSAP, vol. 2, no. 2, pp. 245-257, April 1994		
	3	Hui Jiang, et al., "A robust compensation strategy for extraneous acoustic variations in spontaneous speech recognition," IEEE TSAP, vol. 10, no. 1, pp. 9 -17, Jan. 2002		
	4	J. McDonough, T. Schaaf, and A. Waibel, "On maximum mutual information speaker-adapted training", ICAASP 2002, vol. 1, pp. 601-604, 2002		
	5	B. Zhou, et al., "Rapid speaker adaptation using multi-stream structural max likelihood eigenspace mapping," ICASSP 2002, vol.4, pp.4166-4169, 2002	,	
	6	J-T Chien, "Online unsupervised learning of hidden Markov models for adaptive speech rec.," IEE Proceedings on Vision, I. & S. Proc., vol.148, no.5, pp.315-324, Oct. 2001		
	7	S. Wang, et al., "Online Bayesian tree-structured transformation of HMMs with optimal model selection for speaker adaptation," IEEE TSAP, vol.9, no.6, Sep.2001		

Examiner	Date	··	
Signature	Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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